

Rizzo, Farrugia & Co (Stockbrokers) Ltd is a leading investment and stockbroking firm. We are members of the Malta Stock Exchange and licensed by the MFSA. The company has built a reputation of providing an excellent service and investment advice solely on the basis of investor objectives and requirements.

We are looking for a Compliance and AML Executive to join our team.

Compliance and AML Executive

General duties and responsibilities

- Carrying out internal thematic reviews in terms of the Company's Compliance Monitoring Plan;
- Performing periodic reviews as required by the EU market abuse regulation;
- Assistance in performing customer due diligence, sanction searches, and other client onboarding procedures;
- Performing periodic reviews of client records, ongoing monitoring of transactions, and updates of risk assessments;
- Assisting the Head of Compliance and the MLRO in other compliance-related duties.

Candidate credentials

- Previous experience and/or qualifications in compliance-related areas, particularly in MFSA rules, EU regulations and/or AML requirements will be considered an asset;
- A pro-active and highly organised individual with a can-do attitude and with the ability to work both independently as well as in a collaborative team-oriented environment;
- Proficient IT literacy including a good working knowledge of Microsoft Excel and experience in the use of compliance-related IT tools and software;
- Must have a professional approach, high integrity and moral standards, well-organised, a good team player, and capable of assuming responsibility for duties assigned to him/her and to meet set timeframes and deadlines.

Application letters and CVs should be sent by email to hr@rizzofarrugia.com.

All applications will be treated in strictest confidence.



www.rizzofarrugia.com